ORC: Investment Operations Advisory Solutions

ORC

An Overview of ORC

We are a Specialist Consultancy

ORC is a specialist due diligence and advisory consultancy, supporting sovereign entities, DFIs, institutional investors and asset managers. ORC has supported clients with combined assets under management exceeding US$ 2trn. Since inception in 2019, ORC has helped clients assess operational risk, strengthen governance and improve investment operating models through bespoke advisory solutions, without applying a one-size-fits-all framework.

Our Offering: *Promoting Alignment with Best Practice*



Nigel Morriss
CEO

"Strong investment outcomes require operating models that can support growth, manage risk and meet institutional expectations. We help asset owners and managers assess, design and strengthen the infrastructure that sits behind investment activity."

Our Team of Experts

Our advisory solutions are led by a senior team of industry leaders & investment operations practitioners.



Nigel Morriss | CEO
Authority in investment operations and operational risk; advising on governance, risk and operating model design.



Maria Long | Partner & Head of ODD
Senior ODD and operations specialist; practical experience across asset managers, funds, and service providers.



Steven Bishop | Operations Specialist
Seasoned COO and CCO; extensive experience strengthening operations, compliance and governance frameworks.



Scott Palmer | ODD Specialist
Former Head of ODD at USS Investment Management; deep experience assessing institutional operating models.



Joseph Haire | Consultant
Extensive due diligence and operating model advisory experience; supporting institutional asset owners and managers.

Supported by additional Partners; with our team's total combined industry experience exceeding 205 years.

We Help Clients Institutionalize

We help clients move from current-state operating challenges to stronger, more institutional models.

Our advisory work supports clients seeking to:

Identify Barriers to Growth
Assess whether current governance, people, systems, processes and service providers can support future scale.

Remediate Risks
Identify operational weaknesses that may create financial, regulatory, reputational or control risk.

Refocus on Key Strategic Objectives
Align operating model priorities with the client's investment strategy and governance expectations.

We Advise Across the Full Operating Model

ORC's advisory assessments can cover the full investment and non-investment operating model, including:

- Governance and Organizational Structure
- Human Resources
- Compliance & Risk Management
- Data & Continuity Frameworks
- Investment and Operations Processes
- Third Party Selection and Oversight

More than Operations Advisors

We offer a range of solutions supporting clients across the investment and asset servicing lifecycle.

- Operational Due Diligence
- Custody & Fund Administration
- Investment Governance & Due Diligence
- ESG Advisory

We Are Thought Leaders

Read our thought pieces and insights into what we observe in asset owner and manager operating models.

Thought Pieces | Follow Our Linkedin

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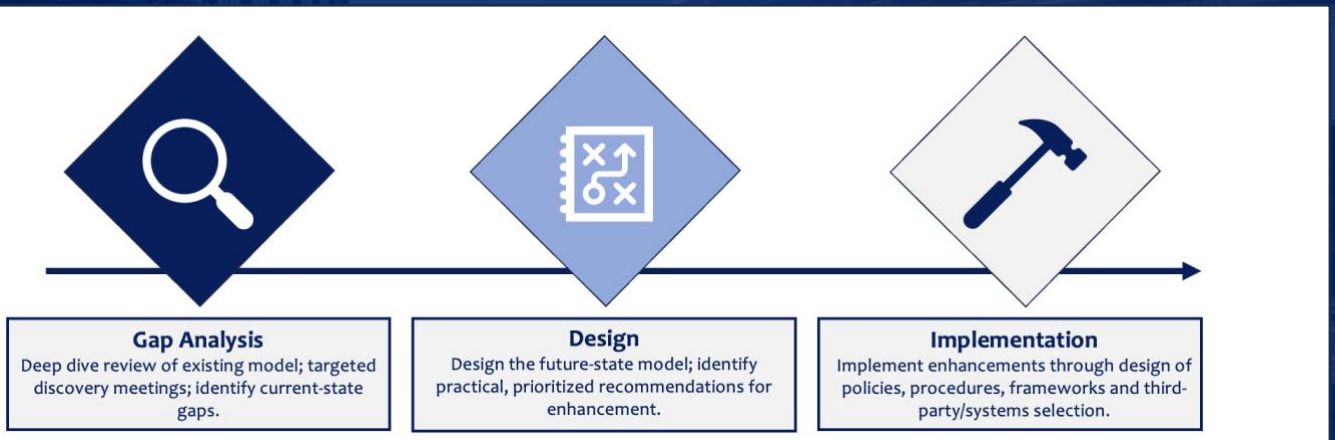
Our Advisory Approach: *From Gap Identification and Analysis to Implementation*

Advisory Designed Around the Client's Objectives

We support clients across the investment and operations transformation journey. Our approach is tailored to the client's objectives, operating maturity, internal resources and strategic priorities.

The goal is not to impose a standard model. The goal is to design an operating model that is clear, controlled, scalable and fit for purpose.

Our Advisory Approach is Focused Around Three Core Stages



This approach allows clients to move from diagnosis to design to delivery, with senior practitioner input throughout the process.

Flexible Advisory Support

We can deliver a **holistic front-to-back operating model review** or targeted support focused on specific areas of concern.

Targeted reviews may include:

Policies and Procedures	**Governance Assessment**	**Fund Structuring and Launch Support**	**Investment Governance**
Operations Frameworks	**Organisation Design and FTE Assessment**	**Risk and Compliance Enhancement**	**IT Policies, Procedures and Systems Selection**

From Recommendations to Implementation

Our advisory work is designed to produce **practical, implementable change**, not theoretical recommendations.

We help clients translate findings into:

Clear Priorities What needs to change first.	**Actionable Roadmaps** Who owns each action and when it should be delivered	**Stronger Controls** How the operating model becomes more resilient.	**Institutional Readiness** How the client moves closer to institutional standards.

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